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PTO/SB/21 (08-03)

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| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number     |                 |
|   | Filing Date            |                 |
|   | First Named Inventor   | Kia Silverbrook |
|   | Art Unit               |                 |
|   | Examiner Name          |                 |
| Total Number of Pages in This Submission  | Attorney Docket Number | ZG114US         |

| ENCLOSURES (Check all that apply)  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application                                | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation   | <input type="checkbox"/> Status Letter   |
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| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks  |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Email: kia.silverbrook@silverbrookresearch.com<br>Telephone: 61-2-9818-6633<br>Facsimile: 61-2-9818-6711 |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Kia Silverbrook<br>c/Silverbrook Research Pty. Ltd.<br>393 Darling Street, Balmain, NSW, 2041, Australia |
| Signature                                  |  |
| Date                                       | December 8, 2003   |

| CERTIFICATE OF TRANSMISSION/MAILING   |  |      |  |
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| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |  |      |  |
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## In the United States Patent and Trademark Office



Serial Number:

Application. Filed:

Applicants/Inventors:: Kia Silverbrook

Assignee: Silverbrook Research Pty Ltd

Application. Title: WAFER SCALE CAPS LOCATED BY MOLDING

GAU:

Date: December 8, 2003

At: Balmain NSW Australia

Docket No. ZG114US

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

Washington, District of Columbia 20231

Dear Sir:

Attached is a completed Form PTO/SB/08A and a copy of the pertinent parts of the reference cited thereon.

We respectfully request the citations mentioned be expressly considered during the prosecution of the above-identified application.

This information disclosure is being filed before the mailing date of a first Office Action.

Very respectfully,

A handwritten signature in black ink, appearing to be "Kia Silverbrook".

KIA SILVERBROOK  
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Balmain NSW 2041, Australia

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PLEASE NOTE -

Copies not attached as previously submitted to or cited by the USPTO in parent application US 10/129,502\*



PTO/SB/08A (10-01)  
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |      | Application Number       |                 |
|   |      | Filing Date              |                 |
|   |      | First Named Inventor     | Kia Silverbrook |
|   |      | Art Unit                 |                 |
|   |      | Examiner Name            |                 |
| Sheet 1   | of 2 | Attorney Docket Number   | ZG114US         |

| U.S. PATENT DOCUMENTS          |                       |  |                                |  |   |
|--------------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                                |                       | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
|                                |                       | US-5,521,123 *                             | May 1996                       | Komatsu et al.                                     |   |
|                                |                       | US-6,429,506 *                             | Aug 2002                       | Fujii et al.                                       |   |
|                                |                       | US-5,789,307 *                             | Aug 1998                       | Igel et al.  |   |
|                                |                       | US-6,255,741 *                             | Jul 2001                       | Yoshihara et al.                                   |   |
|                                |                       | US-4,483,194 *                             | Nov 1984                       | Rudolf, Felix                                      |   |
|                                |                       | US-5,095,752 *                             | Mar 1992                       | Suzuki et al.                                      |   |
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| FOREIGN PATENT DOCUMENTS       |                       |   |                                |  |   |                |
|--------------------------------|-----------------------|---|--------------------------------|--|---|----------------|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                                |                       | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |  |   |                |
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| Examiner Signature | Date Considered |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Kia Silverbrook

Art Unit

Examiner Name

Sheet

2

of

2

Attorney Docket Number

ZG114US

**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|-----------------------|--------------------------|---|----------------|
|                       |                          | Derwent Abstract Acc No 97-078741/08, Class U11 DE 19628237A (Nippon Denso C/L) 16 Jan 1997   |                |
|                       |                          | Derwent Abstract Acc No 2001-150839/16, Cl. L03, JP 2000277753A (Matsushita Electric Works) 6 Oct 2000  |                |
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